

Complete if Known

Substitute for form 1449A/PTO

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets necessary)

Sheet 1

1

of

3

Attorney Docket Number

37168/82045

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JUN 20 2001

U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
CB	A.A	4,055,757		Tillman, et al.	10-25-1977	
	A.B	5,291,398		Hagan	3-1-1994	
	A.C	5,631,828		Hagan	5-20-1997	
	A.D	5,752,236		Sexton, et al.	5-12-1998	
	A.E	5,926,792		Koppes, et al.	7-20-1999	
	A.F	5,930,760		Anderton, et al.	7-27-1999	
	A.G	5,940,810		Traub, et al.	8-17-1999	
	A.H	6,058,377		Traub, et al.	5-2-2000	
	A.I	6,061,661		Hagan	5-9-2000	
	A.J	6,064,969		Haskins	5-16-2000	

FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	A.K							
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	A.N							
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EXAMINER

Chris Buchanan

DATE CONSIDERED

6/20/02

*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 If possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

3

Application Number

09/406,290

Filing Date

September 24, 1999

First Named Inventor

Jeffrey K. Dellinger, et al.

Group Art Unit

2761

Examiner Name

Unknown

Attorney Docket Number

37168/82045

U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
CB	B.A	6,064,983		Koehler	5-16-2000	
	B.B	6,064,986		Edelman	5-16-2000	
	B.C	6,085,174		Edelman	7-4-2000	
	B.D	6,105,865		Hardesty	8-22-2000	
	B.E					
	B.F					
	B.G					
	B.H					
	B.I					
	B.J					

FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	B.K							
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Chen / Sukhman

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FORM PTO/SB/08B (REV. 10-96)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Complete if Known	
Substitute for form 1449B/PTO				Application Number	09/406,290
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets necessary)				Filing Date	September 24, 1999
				First Named Inventor	Jeffrey K. Dellinger, et al.
				Group Art Unit	2761
				Examiner Name	Unknown
Sheet	3	of	3	Attorney Docket Number	37168/82045

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
CB	D.A	AnnuiSHARE™ Consumer Brochure, Version 1.20, 4/6/99; Cover: <u>AnnuiSHARE™ Lifetime Income Annuity; SHAREways to an enhanced and secure future in retirement</u> ; (see inside cover, pages 3-6, inside back cover and back cover).	
	D.B		
	D.C		
	D.D		
	D.E		
	D.F		
	D.G		
	D.H		
	D.I		
	D.J		

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